



INFORMATION DISCLOSURE CITATION LIST	Atty. Docket No.: 3882/7US	Application Serial No.: 09/910,676
	Applicant(s): Adam G. KERRISON et al.	
	Filing Date: July 20, 2001	Group Art Unit: 2164 2153

U.S. PATENT DOCUMENTS

Exam. Init	Document Number	Date	Name	Class	Subclass
DPL	2001/0013107	08/09/01	Lewis	—	—
	2001/0047430	11/29/01	Dev et al.	—	—
	2001/0047409	11/29/01	Datta et al.	—	—
	2001/0042139	11/15/01	Jeffords et al.	—	—
	2001/0052085	12/13/01	Dube et al.	—	—
	2002/0188584	12/12/02	Ghannam et al.	—	—
	2002/0184528	12/5/02	Shevenell et al.	—	—
	2002/0075882	6/20/02	Donis et al.	—	—
	2002/0050926	05/02/02	Lewis et al.	—	—
	2002/0032760	03/14/02	Matthews et al.	—	—
	2003/0110396	6/12/03	Lewis et al.	—	—
	3,855,456	12/17/74	Summers et al.	—	—
	3,906,454	9/16/75	Martin	—	—
	4,135,662	01/23/79	Dlugos	—	—
	4,410,950	10/18/83	Toyoda et al.	—	—
	4,438,494	3/20/84	Budde et al.	—	—
	4,503,534	3/5/85	Budde et al.	—	—
	4,503,535	3/5/85	Budde et al.	—	—
	4,517,468	5/14/85	Kemper et al.	—	—
	4,545,013	10/1/85	Lyon et al.	—	—
V	4,568,909	2/4/86	Whynacht	—	—
DPL	4,585,975	4/29/86	Wimmer	—	—

Exam. Init	Document Number	Date	Name	Class	Subclass
DRL	4,591,983	5/27/86	Bennett et al.	—	—
	4,622,545	11/11/86	Atkinson	—	—
	4,648,044	3/3/87	Hardy et al.	—	—
	4,727,545	2/23/88	Glackemeyer et al.	—	—
	4,817,092	3/28/89	Denny	—	—
	4,823,345	4/18/89	Daniel et al.	—	—
	4,866,712	9/12/89	Chao	—	—
	4,881,230	11/14/89	Clark et al.	—	—
	4,914,657	4/3/90	Walter et al.	—	—
	4,932,026	06/05/90	Dev et al.	—	—
	4,935,876	6/19/90	Hanatsuka	—	—
	5,107,497	4/21/92	Lirov et al.	—	—
	5,109,486	4/28/92	Seymour	—	—
	5,123,017	6/16/92	Simpkins et al.	—	—
	5,125,091	6/23/92	Staas, Jr. et al.	—	—
	5,133,075	7/21/92	Risch	—	—
	5,159,685	10/27/92	Kung	—	—
	5,179,556	01/12/93	Turner	—	—
	5,204,955	4/20/93	Kagei et al.	—	—
	5,214,653	5/25/93	Elliott, Jr. et al.	—	—
	5,247,517	9/21/93	Rose et al.	—	—
	5,261,044	11/09/93	Dev et al.	—	—
	5,293,629	3/8/94	Conley et al.	—	—
	5,295,244	03/15/94	Dev et al.	—	—
	5,309,448	5/3/94	Bouloutas et al.	—	—
	5,321,837	6/14/94	Daniel et al.	—	—
UV	5,375,070	12/20/94	Hershey et al.	—	—
DRL	5,432,934	7/11/95	Levin et al.	—	—

Exam. Init	Document Number	Date	Name	Class	Subclass
DEL	5,436,909	07/25/95	Dev et al.	—	—
	5,483,637	01/9/96	Winokur et al.	—	—
	5,485,455	01/16/96	Dobbins et al.	—	—
	5,491,694	02/13/96	Oliver et al.	—	—
	5,495,470	2/27/96	Tyburski et al.	—	—
	5,504,921	4/02/96	Dev et al.	—	—
	5,521,910	05/28/96	Matthews	—	—
	5,528,516	6/18/96	Yemini et al.	—	—
	5,559,955	09/24/96	Dev et al.	—	—
	5,590,120	12/31/96	Vaishnavi et al.	—	—
	5,627,819	5/06/97	Dev et al.	—	—
	5,649,103	07/15/97	Datta et al.	—	—
	5,666,481	09/09/97	Lewis	—	—
	5,675,741	10/07/97	Aggarwal et al.	—	—
	5,687,290	11/11/97	Lewis	—	—
	5,696,486	12/09/97	Poliquin et al.	—	—
	5,706,436	01/06/98	Lewis et al.	—	—
	5,722,427	03/03/98	Wakil et al.	—	—
	5,727,157	03/10/98	Orr et al.	—	—
	5,734,642	03/31/98	Vaishnavi et al.	—	—
	5,748,781	05/05/98	Datta et al.	—	—
	5,751,933	05/12/98	Dev et al.	—	—
	5,751,965	05/12/98	Mayo et al.	—	—
	5,754,532	05/19/98	Dev et al.	—	—
	5,764,955	06/09/98	Doolan	—	—
	5,768,501	06/16/98	Lewis	—	—
✓	5,777,549	07/07/98	Arrowsmith et al.	—	—
DRL	5,790,546	08/04/98	Dobbins et al.	—	—

Exam. Init	Document Number	Date	Name	Class	Subclass
DRL	5,791,694	08/11/98	Fahl et al.	—	—
	5,793,362	08/11/98	Matthew et al.	—	—
	5,812,750	09/22/98	Dev et al.	—	—
	5,822,305	10/13/98	Vaishnavi et al.	—	—
	5,832,503	11/03/98	Malik et al.	—	—
	5,872,928	02/16/99	Lewis et al.	—	—
	5,889,953	03/30/99	Thebaut et al.	—	—
	5,907,696	05/25/99	Stilwell et al.	—	—
	5,940,376	08/17/99	Yanacek et al.	—	—
	5,970,984	10/26/99	Wakil et al.	—	—
	5,980,984	11/09/99	Modera et al.	—	—
	5,987,442	11/16/99	Lewis et al.	—	—
	6,000,045	12/07/99	Lewis	—	—
	6,003,090	12/14/99	Puranik et al.	—	—
	6,014,697	01/11/00	Lewis et al.	—	—
	6,026,442	02/15/00	Lewis et al.	—	—
	6,041,383	03/21/00	Jeffords et al.	—	—
	6,049,828	04/11/00	Dev et al.	—	—
	6,057,757	05/02/00	Arrowsmith et al.	—	—
	6,064,304	05/16/00	Arrowsmith et al.	—	—
	6,064,986	05/16/00	Edelman	—	—
	6,064,996	05/16/00	Yamaguchi et al.	—	—
	6,084,858	07/04/00	Matthews et al.	—	—
	6,115,362	09/05/00	Bosa et al.	—	—
	6,131,112	10/10/00	Lewis et al.	—	—
	6,138,122	10/24/00	Smith et al.	—	—
✓	6,141,720	10/31/00	Jeffords et al.	—	—
DRL	6,199,172	03/06/01	Dube et al.	—	—

Exam. Init	Document Number	Date	Name	Class	Subclass
DNL	6,205,563	03/20/01	Lewis	—	—
	6,209,033	03/27/01	Datta et al.	—	—
	6,216,168	04/10/01	Dev et al.	—	—
	6,233,623	05/15/01	Jeffords et al.	—	—
	6,243,747	06/05/01	Lewis et al.	—	—
	6,255,943	07/03/01	Lewis et al.	—	—
	6,324,530	11/27/01	Yamaguchi et al.	—	—
	6,324,590	11/27/01	Jeffords et al.	—	—
	6,336,138	1/1/02	Caswell et al.	—	—
	6,349,306	02/19/02	Malik et al.	—	—
	6,373,383	04/16/02	Arrowsmith et al.	—	—
	6,374,293	04/16/02	Dev et al.	—	—
	6,381,639	04/30/02	Thebaut et al.	—	—
	6,392,667	05/21/02	McKinnon et al.	—	—
	6,421,719	07/16/02	Lewis et al	—	—
	6,430,712	08/06/02	Lewis	—	—
	6,437,804	08/20/02	Ibe et al.	—	—
	6,502,079	12/31/02	Ball et al.	—	—
	6,510,478	01/21/03	Jeffords et al.	—	—
	6,603,396	08/05/03	Lewis et al.	—	—
DNL	6,651,062	11/18/03	Ghannam et al.	—	—
DNL				—	—

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Exam. Init.	Document Number	Date	Country	Class	Translation
DNL	EP 0 209 795	01/28/87	EPO	—	—
DNL	EP 0 319 998 B1	6/14/89	EPO	—	—

Exam. Init.	Document Number	Date	Country	Class	Translation
DPL	EP 0 338 561 B1	10/25/89	EPO	—	—
	EP 0 342 547 B1	11/23/89	EPO	—	—
	EP 0 616 289	9/21/94	EPO	—	—
	EP 0 686 329 B1	12/13/95	EPO	—	—
	WO 00/13112	3/9/00	WIPO	—	—
	WO 00/72183	11/30/00	WIPO	—	—
	WO 01/86844	11/15/01	WIPO	—	—
	WO 01/86775	11/15/01	WIPO	—	—
	WO 01/86444	11/15/01	WIPO	—	—
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	WO 01/86380	11/15/01	WIPO	—	—
	WO 02/06973	1/24/02	WIPO	—	—
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	WO 89/07377	8/10/89	WIPO	—	—
	WO 93/00632	01/7/93	WIPO	—	—
	WO 95/20297	7/27/95	WIPO	—	—
	WO 96/09707	3/28/96	WIPO	—	—
	WO 97/44937	11/27/97	WIPO	—	—
	WO 97/37477	10/09/97	WIPO	—	—
	WO 97/29570	08/14/97	WIPO	—	—
	WO 97/16906	05/09/97	WIPO	—	—
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	WO 98/42109	9/24/98	WIPO	—	—
	WO 98/52322	11/19/98	WIPO	—	—
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✓	Lewis, Lundy, Service Level Management for Enterprise Networks, Artech House, 1999
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	Stevenson Douglas W., Network Management: What it is and what it isn't, April 1995, http://www.sce.carleton.ca/netmanage/NetMngmnt/NetMngmnt.html (visited 8/30/2004)
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	RFC 1155 - Structure and identification of management information for TCP/IP-based internets, Network Working Group, M. Rose and K. McCloghrie, Eds., May 1990, http://www.faqs.org/rfcs/rfc1155.html (visited 8/30/04)
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	ISO/IEC 10164-2:1993, Information technology - Open Systems Interconnection - Systems Management: State Management Function
	ISO/IEC 10164-4:1992, Information technology - Open Systems Interconnection - Systems management: Alarm reporting function
	ISO/IEC 10164-5:1993, Information technology - Open Systems Interconnection - Systems management: Event Report Management Function
✓	ISO/IEC 10165-1:1993, Information technology - Open Systems Interconnection - Management Information Services - Structure of management information: Management Information Model
DRR	ISO/IEC 9595:1998, Information technology - Open Systems Interconnection -

	Common management information service
DNL	ISO/IEC 9596-2:1993, Information technology - Open Systems Interconnection - Common management information protocol: Protocol Implementation Conformance Statement (PICS) proforma
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Examiner:	Date Considered:
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Sheet 1 of 8

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U.S. PATENT DOCUMENTS

Exam. Init		Document Number	Date	Name	Class	Subclass
DCL		5,655,081	8/5/1997	Bonnell et al.	—	—
DCL		5,777,549	7/7/1998	Arrowsmith et al.	—	—
DCL		5,872,931	2/16/1999	Chivaluri	—	—
DCL		6,253,211	6/26/2001	Gillies et al.	—	—

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Exam. Init.		Document Number	Date	Country	Class	Translation
DCL		WO 01/47187 A3	6/28/2001	WIPO	—	—
DCL		WO 96/31035	10/03/1996	WIPO	—	—

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DCL	Catania, V. et al., "Monitoring Performance in Distributed Systems", Computer Communications, Elsevier Science (1996)
DCL	Schlaerth, J., "A Concept for Tactical Wide-Area Network Hub Management" IEEE, (1994)
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